SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

	-								
OMB Number:	3235-0287								
Estimated average burden									
hours per response	: 0.5								

Held in

IRA in

wife's name Held by

wife

Ι

500⁽¹⁾

	Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).
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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* MATTHEWS GARY S				2. Issuer Name and Ticker or Trading Symbol IES Holdings, Inc. [IESC]							5. Relationship of Reporting Person(s) to Issuer (Check all applicable)				
MAITHEWS GART 5						<u> </u>	- 1			X	Director	10% 0	Dwner		
(Last) (First) (Middle) 5433 WESTHEIMER ROAD, SUITE 500					3. Date of Earliest Transaction (Month/Day/Year) 03/06/2020						Officer (give title below) CEO and	Other below d Director	(specify)		
(Street)				4. If Amendment, Date of Original Filed (Month/Day/Year)							6. Individual or Joint/Group Filing (Check Applicable Line)				
HOUSTON TX 77056										X	Form filed by On	e Reporting Per	son		
(City)	(State)	(Zip)									Form filed by Mo Person	re than One Re	porting		
		Table I - Nor	n-Deriva	tive S	ecurities Acqu	uired,	Disp	osed of,	or Ben	eficially	/ Owned				
Date		2. Transac Date (Month/Da		2A. Deemed Execution Date, if any (Month/Day/Year)	3.4. Securities Acquired (a Disposed Of (D) (Instr. 3 5)3.0.000 (Instr. 300 (I				5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)				
						Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)		
Common Stock											293,878	D			
Common Stock										4,106	I	Held in IRA			

Common	1 Stock		03/0)6/2020		Р		500	A	\$24	1,	500 ⁽¹⁾	Ι	
	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)													
1. Title of	2.	3. Transaction	3A. Deemed	4.	5. Number	6. Date I	Exercis	sable and	7. Title an	d 8.	Price of	9. Number of	10.	

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		of Deriv Secu Acqu (A) of Dispo of (D) (Instr	of Expiration Date Derivative (Month/Day/Year) Securities Acquired (A) or Disposed		7. Titl Amou Secur Unde Deriv Secur 3 and	int of rities rlying ative rity (Instr.	8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				

Explanation of Responses:

1. 1,000 shares were previously inadvertently reported as being held in IRA in wife's name.

Remarks:

Common Stock

/s/ Mary K. Newman, Attorney-in-Fact

** Signature of Reporting Person Date

03/10/2020

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.